

172A 3906 PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

TAKAO MORITA et al.

International Application No.: PCT/JP2005/003998

International Filing Date: March 8, 2005

For: SURFACE ACOUSTIC WAVE DEVICE

Art Unit: --

Examiner: --

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97(b) and 1.98, Applicant for the above-identified application hereby submits legible copies of the following relevant art along with the Form PTO-1449 which contains the information required by 37 CFR 1.98(b):

- A. Japanese Utility Model Application Laid-Open (Kokai) No. 62-16050 published in Japan on April 10, 1987 (corresponding to GB Patent Publication No. 1 591 624);
- B. Japanese Patent Application Laid-Open (Kokai) No. 1-34411 published in Japan on July 19, 1989;
- C. Merion Lewis, "Surface Skimming Bulk Wave", SSBW IEEE Ultrasonics Symp. Proc. (1977);
- D. Japanese Patent Application Laid-Open (Kokai) No. 7-046079 published in Japan on February 14, 1995;
- E. Japanese Patent Application Laid-Open (Kokai) No. 2004-007846 published in Japan on January 8, 2004;
- F. Japanese Patent Application Laid-Open (Kokai) No. 9-275323 published in Japan on October 21, 1997;

G. Japanese Patent Application Laid-Open (Kokai) No. 2004-023254 published in Japan on January 22, 2004;

H. Japanese Patent Application Laid-Open (Kokai) No. 7-198428 published in Japan on August 1, 1995; and

I. Japanese Patent Application Laid-Open (Kokai) No. 2003-204245 published in Japan on July 18, 2003.

The above-listed prior art A is not in the English language, but the concise explanation of relevance of this prior art is incorporated in the specification pursuant to 37 CFR 1.98(a)(3). In addition, a corresponding GB Publication No. 1 591 624 is attached.

The English translation of the above-listed relevant art B is not available at this time to the undersigned, and such a translation is not attached hereto. Though prior art B is not in the English language, the concise explanation of relevance of this prior art B is incorporated in the specification pursuant to 37 CFR 1.98(a)(3). Nonetheless, an English language abstract is attached to this prior art B.

The prior art D-I are cited in the International Search Report issued June 7, 2005 to the PCT/JP2005/003998 upon which the above-identified U.S. application is filed. A copy of the Search Report is enclosed.

The prior art D-I are not in the English language, but the Search Report is written in English and the degree of relevance is indicated therein by way of the markings of Ys along with the explanation of such markings. In addition, an English language abstract is attached to each one of these non-English prior art D-I.

It is, therefore, respectfully requested that the Patent Office make a record of these relevant arts and consider them during the prosecution.

Respectfully submitted,

Date: September 29, 2006

By: 

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| FORM PTO-1449 | | | Docket Number (Optional) 172A 3906 PCT | | Application Number -- | |
| INFORMATION DISCLOSURE CITATION IN AN APPLICATION | | | Applicant Takao Morita et al. | | | |
| (Use several sheets if necessary) | | | Filing Date -- | | Group Art Unit --- | |
| U.S. PATENT DOCUMENTS | | | | | | |
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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| FOREIGN PATENT DOCUMENTS | | | | | | |
| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION (YES/NO) |
| | JP 62-16050 (GB 1 591 624) | 4/10/1987 | Japan | | | |
| | JP 51-34411 | 7/19/1989 | Japan | | | |
| | JP 7-046079 | 2/14/1995 | Japan | | | |
| | JP 2004-007846 | 1/8/2004 | Japan | | | |
| | JP 9-275323 | 10/21/1997 | Japan | | | |
| | JP 2004-023254 | 1/22/2004 | Japan | | | |
| | JP 7-198428 | 8/1/1995 | Japan | | | |
| | JP 2003-204245 | 7/18/2003 | Japan | | | |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | |
| | Merion Lewis, "Surface Skimming Bulk Wave", SSBW IEEE Ultrasonics Symp. Proc. (1977) | | | | | |
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| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant. | | | | | | |